



Enhance portfolio returns through lower post-trade costs

REDUCE COSTS

Lower trade ticket charges and cut the expense of manually processing errors

MITIGATE RISK

Utilize redundant, secure FIX networks to minimize post-trade disruptions

ACHIEVE STP

Leverage easy integration with trading platforms and streamline back-office operations

GLOBAL COVERAGE

Process US and international equities, futures, ETFs and fixed income securities

Reduce trading costs, quickly resolve errors and streamline the entire post-trade process

Alpha Omega FIXAffirm is the first comprehensive post-trade solution for investment management, based on the proven industry standard FIX protocol. Leading asset managers and broker/dealers have begun adopting the FIX protocol for post-trade processing, clearly signaling an industry move towards this established market standard.

With FIXAffirm, trades can be quickly and easily allocated, confirmed and affirmed immediately after trade execution. FIXAffirm directly connects the asset manager and counterparty broker to facilitate the matching process—with exceptions easily resolved on trade date.

FIXAffirm uses established FIX networks to provide reliable, secure communication of trade and account details.

NEXT-GENERATION POST-TRADE PROCESSING, BASED ON THE PROVEN AND RELIABLE FIX PROTOCOL

In today's dynamic market environment, waiting until T+1 or longer to fix post-trade errors is too long—and very costly. That's why it's essential for institutional investors to have a reliable, secure and robust post-trade processing platform.

Now asset managers and broker/dealers can harness technology that lowers post-trade costs and minimizes the risk of a single point of failure.

Reduce post-trade errors. Lower costs. Achieve straight-through processing. Designed by industry experts, FIXAffirm integrates seamlessly with existing order management systems and simplifies the post-trade process.

How FIXAffirm[®] works

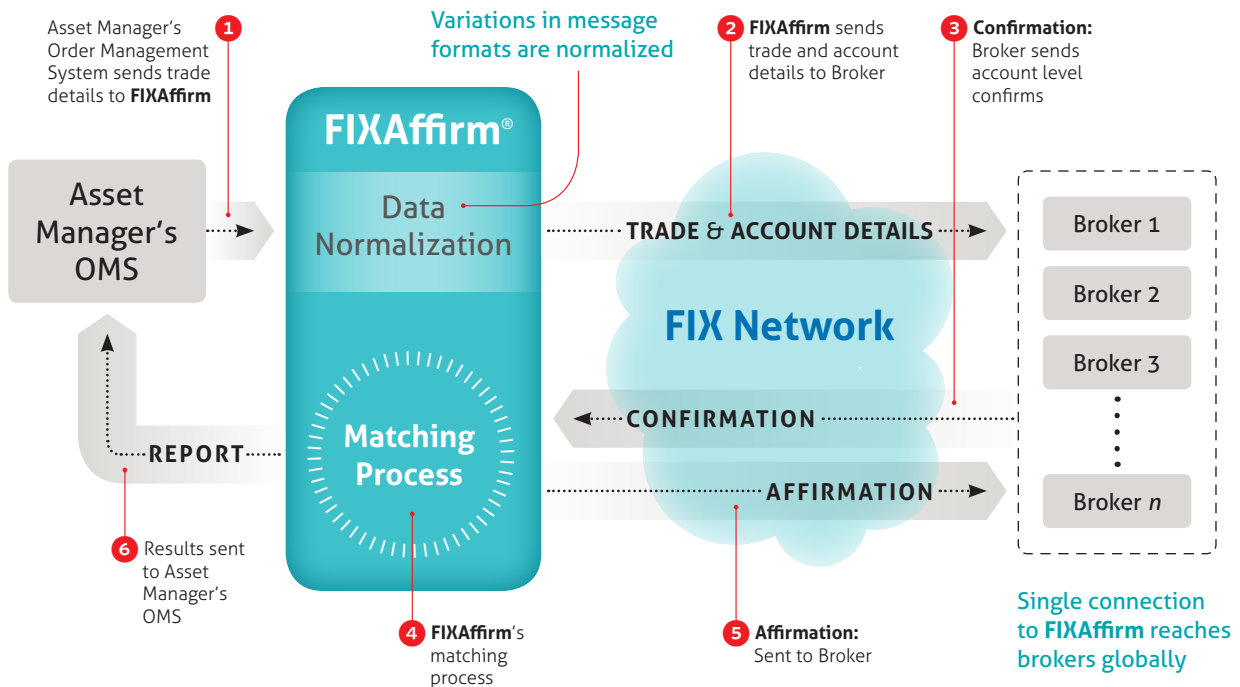


Allocate, confirm and affirm trades seamlessly through reliable, secure technology

KEY FEATURES AND BENEFITS

- Significantly lowers trading costs through reduced ticket charges
- Utilizes redundant, secure FIX networks to minimize post-trade disruptions
- Covers US and international equities, futures, ETFs and fixed income securities
- Reaches brokers globally through a single FIX connection
- Fully integrates with existing order management systems
- Normalizes variations in message formats
- Near real-time processing enables errors to be fixed intraday
- Available as a hosted solution or deployed on-site
- Allows asset managers to specify tolerances for matching on a field-by-field basis, including individual components of miscellaneous fees
- Enables matching of Standing Settlement Instructions (SSI)

FIXAffirm Trade Confirmation/Affirmation Process



Filter by Specific Trade Detail

FIXAffirm Asset Managers

Transactions Admin

Current Trades Historical Trades

From: [] to [] Symbol: [] Broker: [] Status: [Select] City: [] Avg Prc: [] Force Match [] Cancel [] Reload []

Currency: [All] AllocID: [] Gross Money: [] Net Money: [] Side: [All] Security ID: [] Settle Date: [] to []

Side	Symbol	Security ID	Qty	Broker	Avg Prc	Currency	Trade Date	Settle Date	Status	Sub Status	Gross Money	Net Money	Alloc ID	Last Modified
SELL	DJAI AU	AUJ000000442	380.000	BRK2	1.87	AUD	05/11/2015	05/14/2015	MATCHED	Exact Match	873,200.00	873,200.00	6044903404CM	2:29:04 PM
BUY	HSBA LN	GB00050405296	660.000	BRK2	7.294	GBP	05/11/2015	05/14/2015	MATCHED	Tolerance Match	4,814,940.00	4,817,894.23	6044903402TM	2:29:05 PM
BUY	FB US	3030M102	1,260.000	BRK1	28.31	USD	05/11/2015	05/14/2015	MISMATCHED	Commission Mismatch	35,870,800.00	35,683,200.00	6044903406CM	2:29:05 PM
BUY	0003 HK	HK0004000045	339.000	BRK1	71.90	HKD	05/11/2015	05/14/2015	MISMATCHED	Fee Mismatch	24,374,100.00	24,381,875.47	6044903403MM	2:29:04 PM
SELL	006130 JK	ID1000061302	960.000	BRK1	26.250	IDR	05/11/2015	05/14/2015	REJECTED	Rejected by Broker	25,200,000.000	24,417,338,400.00	60449034048R	2:28:58 PM
BUY	FB US	3030M102	1,260.000	BRK1	28.31	USD	05/11/2015	05/14/2015	MISMATCHED	Commission Mismatch	35,870,800.00	35,683,200.00	6044903406CM	2:28:59 PM
SELL	006130 JK	ID1000061302	960.000	BRK1	26.250	IDR	05/11/2015	05/14/2015	REJECTED	Rejected by Broker	25,200,000.000	24,417,338,400.00	60449034048R	2:28:58 PM
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Symbol: 0003 HK | Side: BUY | Broker: BRK1 | Qty: 339.000 | Avg Prc: 71.90 | Trade Date: 05/11/2015 | AllocID: 6044903403MM

Account	Qty	Avg Prc	Net Money	Commission	Misc Fees	Match Status	Alloc ID
ACCT01	112.000	71.90	8,095,302.81	2,415.94	86.97	AFFIRMED: Exact Match	6044903403MM.0001
ACCT02	113.000	71.90	8,127,225.16	2,437.41	87.75	MISMATCH	6044903403MM.0002
ACCT03	114.000	71.90	8,199,147.50	2,458.98	88.52	AFFIRMED: Exact Match	6044903403MM.0003

Trade Detail

Account	Manager	Broker	Delta
ACCT02	ACCT02	ACCT02	-
Avg Prc	71.90	71.90	0.00
Net Money	8,127,225.16	8,127,225.16	79.82
Qty	113.000	113.000	0
Commission	2,437.41	2,437.41	0.00
Misc Fees	87.75	167.87	79.82
Settle Currency			

Account Details

Misc Fee Breakdown

Misc Fee Breakdown

Account: ACCT02 | Symbol: 0003 HK | Side: BUY | AllocID: 56d3b9e80dbmM

Type	Manager	Broker	Delta
Regulatory	-	-	-
Tax	-	-	-
Local Commission	-	-	-
Exchange Fees	4.06	83.68	79.62
Stamp	81.25	81.25	0.00
Levy	2.44	2.44	0.00
Other	-	-	-
Markup	-	-	-
Consumption Tax	-	-	-
Per Transaction	-	-	-
Conversion	-	-	-
Agent	-	-	-
Total	87.75	167.37	79.62



ABOUT ALPHA OMEGA

Founded by prominent industry veterans, Alpha Omega provides innovative technology to make the post-trade process more efficient and cost-effective for the investment management industry. With over five decades of experience in portfolio management, trading and post-trade processes, Alpha Omega is uniquely positioned to bring advanced FIX-based technology to asset managers and broker/dealers. The company's flagship product, Alpha Omega FIXAffirm®, directly connects counterparties to deliver fully automated allocation, confirmation and affirmation of trades. For more information, please visit www.ao-fs.com.