



Innovators in post-trade technology

FIX your post-trade™



“Leading financial institutions now recognize that **FIX is a game changer for post-trade.**”

Ignatius John, President



Alpha Omega
Financial Systems

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Innovators in post-trade technology

At Alpha Omega, post-trade is all we do—from start to finish, Alpha to Omega. The company was founded on proven technology for post-trade that lowers costs, reduces error rates and integrates seamlessly with existing trading systems.

Ignatius John, co-founder of Alpha Omega, was the first in the industry to implement a fully automated post-trade process in 2008 using the FIX Protocol. This process achieved error rates of less than 1%, reduced costs and increased trading efficiency. Convinced that the post-trade arena was ripe for new technology, Ignatius partnered with Kamal Duggirala, an industry thought leader in portfolio management and trading technology, to create Alpha Omega.

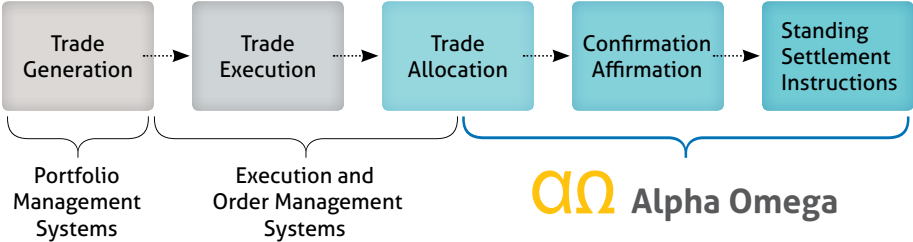
THE FIX PROTOCOL — A UNIFORM STANDARD

At Alpha Omega we believe that straight-through-processing is achievable today, resulting in a seamless flow of trade details from portfolio management to trading to settlement. The industry standard FIX Protocol offers the granularity, flexibility and connectivity to fully support the communication of trade details between asset managers, brokers, clearing agencies and custodian banks. Recognizing these capabilities, leading asset managers and broker/dealers have embraced the FIX Protocol as a uniform standard that can serve all participants in the post-trade world.

For the last two decades much of the industry’s investment has been directed towards front-office solutions. We believe that the middle- and back-office are essential functions that can contribute to lowering costs, reducing errors and ultimately improving portfolio performance. We offer innovative technology and the highest level of strategic consultation to enable our clients to achieve these goals.

Our mission is clear. Our expertise in post-trade is unmatched. And our solutions are backed by experienced technology and investment professionals who understand the entire investment process, from pre- to post-trade, Alpha to Omega.

TRADE LIFE CYCLE



Industry leadership: experts in investment process, trading and post-trade

Ignatius John, President

Ignatius John, considered by many to be an IT visionary, is recognized as a pioneer in the use of the FIX Protocol for post-trade. As Global Trading Strategist at AXA Rosenberg, Ignatius implemented a complete post-trade solution in 2008 using the FIX Protocol. This accomplishment was highlighted in a leading industry journal on Trading, and was a precursor to the industry's adoption of FIX for post-trade.



In various roles at AXA Rosenberg over two decades, Ignatius managed business strategy, product and technology development. He also worked for four years at global custodian State Street Bank on real-time trading technology. Ignatius has a proven track record of implementing functional improvements to the investment process, from pre- to post-trade in the US, Asia and Europe, to increase workflow efficiency, mitigate risk and enhance portfolio returns.

Kamal Duggirala, Chief Executive Officer

Kamal Duggirala, a pioneer in electronic trading, brings over 25 years experience to Alpha Omega. Prior to co-founding the Firm, Kamal was the Chief Executive Officer of Barra, Inc., the leading provider of portfolio risk management analytics worldwide. Barra's client base of over 1,000 leading financial institutions around the globe included the majority of the world's largest asset managers, broker/dealers and pension funds. Under Kamal's leadership, Barra developed the first enterprise risk management system for asset managers and pension funds.



Kamal was also responsible for the design and implementation of the POSIT system, which became the leading portfolio trading system for asset managers. He also led the development of real-time options and equity trading systems for broker/dealers in Europe and Japan.

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A comprehensive post-trade solution

FIXAffirm™, Alpha Omega's flagship product, is a comprehensive post-trade solution for equities and fixed income securities. Based on the industry standard FIX Protocol, FIXAffirm directly connects asset managers and broker/dealers to deliver fully automated allocation, confirmation and affirmation of trades. FIXAffirm is available in both enterprise and hosted versions, with global FIX connectivity.

Founded by prominent industry veterans, Alpha Omega provides innovative technology to make the post-trade process more efficient and cost-effective for the investment management industry. With over five decades of experience in portfolio management, trading and post-trade processes, Alpha Omega is uniquely positioned to bring advanced FIX-based technology to asset managers and broker/dealers. The company's flagship product, FIXAffirm™, directly connects counterparties to deliver fully automated allocation, confirmation and affirmation of trades for equities and fixed income securities. For more information, please visit the Firm's website at www.ao-fs.com.